# Modelling tongue position in German vowels 

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"A hybrid PARAFAC and Principal Component model of tongue configuration in vowel production"

## Two-Mode Principal Component Analysis

Two-dimensional data matrix:
$m$ observations (e.g 15 vowels) on $n$ variables (e.g 8 articulators)

Each factor extracted represents a weighted sum of the 8 articulators Each vowel has a score with respect to each factor

Problem: Rotational indeterminacy of factor axes

## Three-Mode Analysis (PARAFAC)

(e.g Harshman et al., 1977)

Systematic exploitation of a third dimension to solve the indeterminacy problem In this work the speakers represent this third dimension
Analogy: Simultaneous equation
$x+y=20$
$2 x+3 y=55$
Model prediction for speaker $k$ : $\quad \mathbf{Y}_{k}=\mathbf{A S}_{k} \mathbf{V}^{\top}$
where $\mathbf{V}, \mathbf{A}$ and $\mathbf{S}$ are 3 loading matrices (for vowels, articulators and speakers, respectively), and where $\mathbf{S}_{k}$ is a matrix with the $k$ th row of $\mathbf{S}$ on the main diagonal and zero elsewhere

Hence very strong assumptions on possible speaker-specific behaviour
If assumptions are met
Very parsimonious representation
Close relationship of factors to the underlying behavioural dimensions

## Material

15 German vowels (monophthongs)
3 consonant contexts ( $\mathrm{p} V \mathrm{p}, \mathrm{tVt}, \mathrm{kVk}$ )
7 speakers
2 speech rates (separate recording sessions)
8 articulatory coordinates ( $\mathrm{x} / \mathrm{y}$ for 4 fleshpoints on tongue)

## Preprocessing

Data averaged over 5 repetitions of each token
Data converted to deviations from each subject's mean articulatory position

## A bumpy road

A reliable 3-factor model could not be extracted
2-factor models:

|  | RMS error $(\mathrm{mm})$ |
| :--- | :---: |
| p-context only | 1.2 |
| t-context only | !model unreliable! |
| k-context only | 1.1 |
| p and k contexts | 1.5 |
| p, t and k contexts | 1.9 |

All the reliable 2-factor models were very similar to each other

Tongue configuration: Factor 1


Tongue configuration: Factor 2






Speaker H, /te:t/ vs. /ke:k/


Speaker P, /tœt/ vs. /tot/



## Extending the model

Can the failure of the 3-factor model (and of the 2-factor t-context model) be explained?
Procedure:
Subject-specific principal-component analysis of the PARAFAC model error
Result:
The first principal component shows in all subjects an alternation between tongueblade and tongue-dorsum raising
It explains about $50 \%$ of the variance

Subject C


Subject M


Subject C


Subject B


Subject T


Subject P


Subject T


Subject P


Subject H


Subject S


Subject H


Subject S


Boring brute-force approach
Simply retain for each subject the 45 vowel weights ( 15 vowels * 3 contexts) and the 8 articulator weights

RMS error 1.1 mm
"Spirit of PARAFAC" approach
Use subject-specific articulator weights (as above)
but
retain only 1 set of vowel weights (averaged over subjects)
RMS error 1.2 mm

Combined PARAFAC and error-analysis model


## Final Model

2 PARAFAC factors
1 factor derived from subject-specific principal component analysis of the PARAFAC model error
using
vowel scores averaged over speakers
but
speaker-specific articulator weights

## Conclusions

1. The basic PARAFAC approach gives a succinct and physiologically plausible account of vowel articulation
2. Consonantal articulation requires a more complex subject-specific mapping between underlying articulatory component and observable fleshpoint coordinates
