

# Vocal aging effects on $F_0$ and the first formant: A longitudinal analysis in adult speakers

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## Abstract

This paper presents a longitudinal analysis of the extent to which age affects  $F_0$  and formant frequencies. Five speakers at two time intervals showed a clear effect for  $F_0$  and  $F_1$  but no systematic effects for  $F_2$  or  $F_3$ . In two speakers for which recordings were available in successive years over a 50 year period, results showed with increasing age a decrease in both  $F_0$  and  $F_1$  for a female speaker and a V-shaped pattern, i.e. a decrease followed by an increase in both  $F_0$  and  $F_1$  for a male speaker. This analysis also provided strong evidence that  $F_1$  approximately tracked  $F_0$  across the years: i.e., the rate of change of (the logarithm of)  $F_0$  and  $F_1$  were generally the same. We then also tested that the changes in  $F_1$  were not an acoustic artifact of changing  $F_0$ . Perception experiments with the main aim of assessing whether changes in  $F_1$  contributed to age judgments beyond those from  $F_0$  showed that the contribution of  $F_1$  was inconsistent and negligible. The general conclusion is that age-related changes in  $F_1$  may be compensatory to offset a physiologically induced decline in  $F_0$  and thereby maintain a relatively constant auditory distance between  $F_0$  and  $F_1$ .

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## 1. Introduction

In the production of speech, several kinds of information are produced in parallel, both linguistic and also many that index personal attributes of the speaker. The latter certainly include cues that are linked to the physical properties of the speaker's vocal organs, including gender and the speaker's age range. In addition, many non-physiological speaker-specific characteristics leave their imprint on the acoustic signal, such as information about the speaker's emotional state as well as regional and social affiliation (see e.g. Chambers et al., 2002; Labov, 1972, 1994, 2001; Docherty, in press; Mendoza-Denton, in press, for recent reviews). The task of quantifying the relative contributions from

these various sources of information is made especially difficult because many of them are indexed by similar sets of cues. For example, fundamental frequency depends both on the relationship between the pragmatic–semantic content of the utterance and intonation (Beckman and Venditti, 2010) and many other factors, such as the speaker's gender, age group, and emotional state (Campbell, 2004). Similarly, social and regional attributes tend to be parasitic upon the attributes of the speech signal that provide linguistic information (Labov, 2001); thus, the extent of glottalisation of a /t/ can provide information about syllable-affiliation in English but it can in some varieties also be a marker of social group affiliation (e.g., Foulkes and Docherty, 2006). Similarly, a more peripheral than usual high front lax vowel associated with a raising of the second formant frequency can be a cue that the word was produced with prosodic prominence (de Jong, 1995; Harrington et al., 2000a) but is also a marker of an Australian as opposed to a (Standard) British English variety (Cox, 2006).

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In this paper, our main concern is with the effect of increasing age during the lifespan of an adult speaker on formant frequencies. Our interest in this association between formants and age is not only to advance our understanding of how increasing age in adulthood influences speech production, but also to assess the extent to which age-related formant changes may obscure phonetic differences between two groups of different aged speakers in the context of sociophonetic investigations. For example, sound change in progress can often be quantified in so-called apparent-time studies by comparing older with younger speakers of the same community (Bailey et al., 1991; Weinreich et al., 1968). However, the possible effect of non-phonetic age-related changes on formant frequencies is not usually taken into account in such studies (Harrington, 2006): consequently, apparent-time studies may under- or overestimate sound change if its effect on formants is different from, or similar to, that of physiologically-based changes due to ageing.

Some studies based almost entirely on the comparison of speakers in different age groups have shown an effect of age on formants and the most consistent effect is an age-dependent  $F_1$ -lowering (Linville and Fisher, 1985a; Scukanec et al., 1991; Xue and Hao, 2003). In addition, Rastatter et al. (1997) have shown a greater centralization of the vowel space in older speakers, although this effect was more marked in men than in women. The decreases of formants have been attributed to a lengthening of the vocal tract, caused by a lowering of the larynx, of the tracheo-bronchial tree and of the lungs, and by a growth of the facial skeleton (Laver and Trudgill, 1979; Linville, 2001). However, results are somewhat contradictory as far as the relative position of the larynx to the cervical vertebrae are concerned: while Zemlin (1998) cites Wind's (1970) findings of a vertical descent of the larynx during life, with a mean position of the lower border of the cricoid cartilage to cervical vertebrae descending from the 6th vertebra at age 20 to the 7th at age 80, Flügel and Rohen (1991) were not able to find a more lowered larynx during later adulthood in their study; and while Xue and Hao's (2003) analysis using an acoustic reflection technique found no differences between young (18–30 years) and old (62–79 years) speakers in overall vocal tract length, they did report both an increase in vocal tract volume, and in length and volume of the older speakers' mouth cavity.

In this paper, we are also concerned with an analysis of fundamental frequency, primarily because of the evidence that age-dependent  $F_0$  and formant changes may be related (Harrington et al., 2007). Previous studies and compilations of studies have shown a decrease in  $F_0$  with increasing age in women (Baken, 2005; Linville, 1996; Nishio and Niimi, 2008) and there is also some evidence for an age-dependent decrease in  $F_0$  from longitudinal studies carried out in the same person (De Pinto and Hollien, 1982; Harrington et al., 2007; Russell et al., 1995; see also Mwangi et al., 2009). The comparable studies in male speakers are, however, less straightforward:  $F_0$  is sometimes

reported to remain unchanged (Verdonck-de Leeuw and Mahieu, 2004), to decrease (Decoster and Debruyne, 2000; Harrington et al., 2007) or to increase (Harnsberger et al., 2008). This different pattern of results may come about because of other evidence showing an  $F_0$  drop in males over the age range 30–50 and then an increase in  $F_0$  in older age (Baken, 2005; Linville, 1996). The  $F_0$  decrease in women and the  $F_0$  rise in later life in males have been attributed to the influence of hormonal changes on vocal fold vibration (Linville, 1996; see also Abitbol et al., 1999; Gugatschka et al., in press), while the  $F_0$ -decrease in both genders has also been explained in terms of an increase in vocal fold thickness and mass (Hollien and Shipp, 1972).

One of the reasons why studies of age-dependent influences of acoustic parameters may have provided conflicting results is because they are usually studied across different speaker groups (e.g., one young, one old): thus the different vocal tract morphologies which have a marked influence on  $F_0$  and formants may obscure changes due to age. Similarly, the sociophonetic differences between younger and older speakers of the same speaking community may mask some of the physiologically based differences between them. One of the aims of the present study is to factor out some of these phonetic variables by carrying out a longitudinal study of age effects within the same speakers over a number of years. These types of studies are in general quite rare because of the difficulty of sampling materials over several decades from the same speaker. Moreover, even if such material is available, it is very often confounded by speaking style differences.

Although some recent longitudinal studies have been concerned with phonetic changes in the context of sound change in progress (Harrington et al., 2000b,c, 2005; Harrington, 2007; Sankoff and Blondeau, 2007), there is a paucity of those examining the non-phonetic long-term effects of age. As far as fundamental frequency is concerned, existing longitudinal studies are consistent with those based on between group comparisons in showing an  $F_0$  decrease with increasing age in the same speaker (Decoster and Debruyne, 2000; Harrington, 2006; Russell et al., 1995). A longitudinal study by Endres et al. (1971) indicated age-dependent formant decreases, although since their results were based on an average across all formants, the contributions of the separate formants to age changes cannot be determined.

The results of a longitudinal study in Harrington et al. (2007) suggested that the age-dependent decrease in both  $F_0$  and the first formant frequency might be derived from the same auditory effect of maintaining a roughly constant difference between these parameters with increasing age. More specifically, Traunmüller (1981, 1984, 1991) has shown that the difference between  $F_0$  and  $F_1$  in Bark is a cue for phonetic vowel height. It is therefore possible that speakers actively lower  $F_1$  as  $F_0$  decreases in order to maintain a roughly constant relationship between phonetic vowel height and the parameters that give rise to it

perceptually with increasing age. Harrington (2006) also raises the possibility the apparent coupling of  $F_1$  and  $F_0$  with increasing age may be an artifact of the perturbation to formant frequencies induced by changing harmonics as  $F_0$  decreases. Finally, as discussed above, various physiological reasons have been advanced for the decrease in formants including the possibility that the vocal tract lengthens, possibly because the larynx sinks with increasing age into the respiratory system.

In order to explore some of these ideas in further detail, we began by investigating the change in  $F_0$  and formants in five speakers in two recordings separated by several years. Informed in part by these results, we then analysed  $F_0$  and  $F_1$  changes over several years within a 40–50 year period from broadcast materials of two speakers producing speech under very similar recording conditions and communicative intent. Subsequently, we made use of re-synthesis techniques to test whether the age-dependent  $F_1$  changes could be an acoustic artifact of the decreasing  $F_0$  and the LPC-algorithm used to calculate formants. Finally, we carried out various perception experiments to determine not only whether decreasing  $F_0$  cues age, but also the extent to which  $F_1$  contributes to age perception independently of  $F_0$ .

## 2. $F_0$ and formant change: A longitudinal analysis of several speakers

### 2.1. Method

#### 2.1.1. Speakers and materials

This part of the analysis was based on five speakers for which longitudinal data were available. The speakers were: Queen Elizabeth II (1926–), the British actress Margaret Lockwood (1916–1990), the BBC radio presenter Roy Plomley (1914–1985), the former British prime-minister Baroness Margaret Thatcher (1925–), and the British/American journalist and broadcaster Alistair Cooke (1908–2004). The first four speakers produce a variety of the standard accent of England, Received Pronunciation; the variety spoken by Alistair Cooke is also Received Pronunciation with influences from General American.

We obtained two recordings from the BBC archives of each of these speakers which we will refer to as early and late. As shown in Table 1, the speakers' age differences vary

Table 1

The five speakers, the date of the broadcast from which the schwas were taken and the age of the speaker (in parentheses) in the early and late broadcasts. The final column shows the speakers' age difference between the early and late broadcasts.

	Early	Late	Age difference
Queen Elizabeth II	1960 (34)	1994 (68)	34
Lockwood	1951 (35)	1980 (64)	29
Thatcher	1960 (35)	1995 (70)	35
Cooke	1951 (43)	1981(73)	30
Plomley	1951 (37)	1985 (71)	34

across the two broadcasts between 29 (Lockwood) and 35 (Thatcher) years. The age of the speakers in the early broadcasts are between 34 (Queen Elizabeth II) and 43 (Cooke) years; for the late broadcasts they vary between 64 (Lockwood) and 73 (Cooke) years of age. Finally, the early broadcasts are recordings obtained between 1951 (Cooke/Plomley/Lockwood) and 1960 (Queen Elizabeth II/Thatcher); and the late broadcasts are between 1980 (Lockwood) and 1995 (Thatcher).

A brief description of the corpora from which these recordings were obtained is as follows. For the Queen, the recordings were of read speech from the annual Christmas broadcasts (Harrington et al., 2000a,b,c). The data for Margaret Lockwood were from two radio presentations on a BBC program 'Desert Island Discs' in which the actress was interviewed about her life. The materials from the earlier recording were almost certainly scripted while the later recording was spontaneous speech. The data for Roy Plomley was also from 'Desert Island Discs' but not from the same broadcasts as the interviews with Lockwood. The data from Thatcher, which is spontaneous speech, was taken from a BBC radio program 'Any Questions' in which members of the panel answer questions from the audience. The recordings from Cooke were from the BBC program 'Letter from America' which was read, or rehearsed, speech.

There were considerable differences between these five speakers in the amount of material that was available for analysis and the total durations by speaker are as follows. Queen Elizabeth II: 4 min 36 s (early), 5 min 32 s (late); Plomley: 53 s (early), 2 min 17 s (late); Thatcher 47 s (early), 1 min 16 s (late); Cooke 13 min 24 s (early), 13 min 20 s (late); Lockwood 5 min 30 s (early), 12 min (late).

#### 2.1.2. Data pre-processing

Our interest in this study was in the long-term, non-phonetic effect of aging on pitch and vocal tract resonances and for this reason we analysed schwa vowels because we assumed that these have not been influenced by diachronic phonetic change in the last 50–60 years. Following the procedure in Harrington (2006), the schwa vowels were labelled in polysyllabic content words and care was taken not to include those weak vowels that are realized with a lax /ɪ/ in RP, i.e., schwas were only taken from weak vowels transcribed in most dictionaries with /ə/ as in *Rosa's* (but they were not taken from *roses*). Schwas were not included if their duration was so short that no reliable formant frequencies could be calculated. The sampling frequency for the speech data were 16 kHz (Queen Elizabeth II, Lockwood), 22 kHz (Cooke), and 24 kHz (Plomley, Thatcher). (The reason for this variation in the sampling frequencies is because the data had been obtained at different times and under different circumstances.) The fundamental frequency and the synchronized first four formant frequencies were calculated with a frame shift of 5 ms and a window length of 30 ms for the formant data. For

Table 2  
The total number of schwas analysed in early and late broadcasts for the separate speakers.

Speaker	Broadcasts	
	Early	Late
Queen Elizabeth II	204	175
Margaret Lockwood	74	137
Margaret Thatcher	30	118
Roy Plomley	29	47
Alistair Cooke	110	140
Total	447	617

some schwas, no sensible formants could be calculated and these were discarded from further analysis. No manual correction was made to the formants of the remaining schwas. The analysis of schwas was based on  $F_0$  and  $F_1$ – $F_4$  extracted at the temporal midpoint. A total of 1064 schwas were analysed, 447 from the early, and 617 from the late broadcasts (Table 2).

Because of the considerable variation in the amount of broadcast material available, there were also differences in the number of schwas available for analysis.

## 2.2. Results

The boxplots in Figs. 1 and 2 show that the medians and distributions of  $F_0$  and  $F_1$  were lower for all speakers in the late broadcasts: the possible exceptions are  $F_0$  for Plomley and  $F_1$  for Lockwood which show only marginal differences. We applied a linear mixed model<sup>1</sup> with  $F_0$  or one of the formants as a dependent variable, Age as an independent variable, and Speaker as a random factor. The results showed significant effects for Age on both  $F_0$  ( $F[1, 60] = 98.8$ ,  $p < 0.001$ ) and on  $F_1$  ( $F[1, 60] = 123.7$ ,  $p < 0.001$ ).

There were no Age-dependent differences for  $F_2$  ( $F[1, 60] = 0.16$ ) and a weak, but not significant, trend for  $F_3$  to increase from early to late recordings in all speakers except Lockwood for whom  $F_3$  decreased.

The results of this longitudinal analysis are consistent with apparent-time investigations in showing a decrease in  $F_0$  and  $F_1$  with increasing age (e.g. Linville, 1996; Linville and Fisher, 1985a,b). In the next experiment, we investigated the relationship between decreasing  $F_0$  and decreasing  $F_1$  by exploring the change in these parameters

<sup>1</sup> One of the difficulties with mixed models is in determining the number of degrees of freedom in the denominator. An anti-conservative estimate can be obtained from  $df = n - k - 1$  where  $n$  is the number of observations and  $k$  the number of degrees of freedom (Baayen, 2008). Instead of using this anti-conservative estimate (which for the data in this paper produced an estimated value of  $df$  in the denominator of between 148 and 1064), we set  $df$  to be equal to the more conservative value of 60 and chose an alpha level of 0.01. Part of the motivation for choosing a somewhat arbitrary value of 60 is that for  $df > 60$  there is a fairly small change to the  $F$ -value for which significance is obtained. For example, the  $F$  values at  $\alpha = 0.01$  are  $F[1, 60] = 8.49$  and  $F[1, 600] = 7.94$ , i.e., an  $F$ -value change of 0.55 for a change in  $df$  from 60 to 600.

within the same speakers over several years: specifically, we sought to determine the extent to which the change in  $F_1$  was predictable from the change in  $F_0$  or vice-versa.

## 3. $F_0$ and $F_1$ changes across several years in two speakers

### 3.1. Method

We calculated  $F_0$  and formant frequencies in 29 Christmas broadcasts of Queen Elizabeth II and in 47 of Alistair Cooke's 'Letter from America' broadcasts out of 30 years. The years in which these were calculated are as follows. For Queen Elizabeth II: the 1950s (52, 54–59); 1960s (60, 62, 63–68); 1970s (70–72); 1980s (83, 85, 88); 1990s (94–99); 2000s (00–02). For Alistair Cooke, the 1940s and 1950s (47, 51, 53); the 1960s (60, 62, 65); the 1970s (70, 71, 73, 74); the 1980s (80–85); the 1990s (90–94, 96–99); and the 2000s (2000–2004). The mean duration of the 29 Christmas broadcasts was 5.2 min and of the 47 'Letter from America' broadcasts 13.5 min. Because of the very large quantity of speech data that were examined (a total across all broadcasts of 2 h 35 min for the Queen and 10 h 35 min for Cooke), labelling schwas accurately following the procedure in experiment 2 would have been prohibitively time-consuming. In this experiment, we therefore opted for the faster method of calculating  $F_0$  and  $F_1$  averages in all voiced frames of the broadcasts. More specifically, the voiced frames were selected automatically and then concatenated into separate 1-min blocks. For each such block, the mean  $F_0$  and mean  $F_1$  were calculated. Finally, these means were averaged separately for each year resulting in a pair of  $F_0$ ,  $F_1$  averaged values per year per speaker.

Linear regression techniques were then applied in order to test for differences in the rate of change of  $F_0$  and of  $F_1$  as a function of year.

### 3.2. Results

Before presenting these results on  $F_0$  and  $F_1$  as a function of year, we first checked that voiced frames and parameters extracted at the schwa midpoint were likely to have comparable effects on age. To do this, we compared  $F_0$  and  $F_1$  values obtained from schwas in the preceding experiment with  $F_0$  and  $F_1$  values, respectively, calculated from voiced frames using the method described in Section 3.1. The results of paired sample  $t$ -tests (paired because we compared the data from schwas and voiced frames in the same year) showed no significant differences between  $F_0$  obtained from schwas and  $F_0$  obtained from voiced frames, neither for Queen Elizabeth II nor for Cooke; there were also no significant differences for either speaker in  $F_1$  obtained from schwas and voiced frames. We therefore concluded that estimating the parameters from voiced frames was a viable alternative to extracting acoustic data from schwas as a means for assessing the effect of age on  $F_0$  and  $F_1$ .

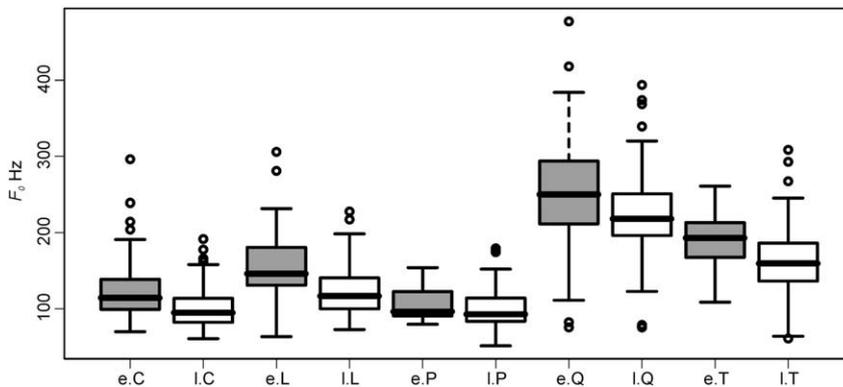


Fig. 1. Boxplots showing the distribution of  $F_0$  in early (grey) and late (white) broadcasts extracted at the temporal midpoint of schwa for the speakers Cooke (.C), Lockwood(.L), Plomley (.P), Queen Elizabeth II (.Q) and Thatcher (.T).

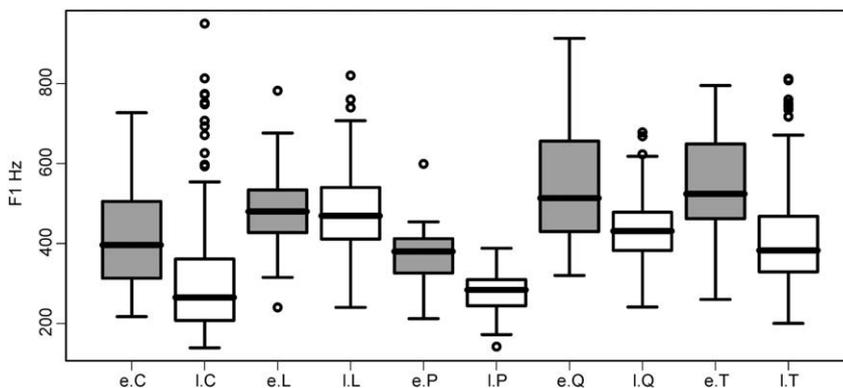


Fig. 2. Boxplots showing the distribution of  $F_1$  in early (grey) and late (white) broadcasts extracted at the temporal midpoint of schwa for the speakers Cooke (.C), Lockwood(.L), Plomley (.P), Queen Elizabeth II (.Q) and Thatcher (.T).

We found that the most systematic relationship between  $F_0$ ,  $F_1$  and change was produced by plotting the logarithm of these parameters as a function of the year. Fig. 3 shows a plot of  $\ln F_0$ , the (natural) logarithm of  $F_0$ , and  $\ln F_1$ , the logarithm of  $F_1$ , as a function of speaker age in the Christ-

mas broadcasts (left) and in the ‘Letter from America’ data (right). For the former, both  $\ln F_0$  and  $\ln F_1$  fall linearly with increasing age as also shown by the fitted regression lines. The data from Cooke on the right of Fig. 3 shows that both  $\ln F_0$  and  $\ln F_1$  fall up to the age of about 85,

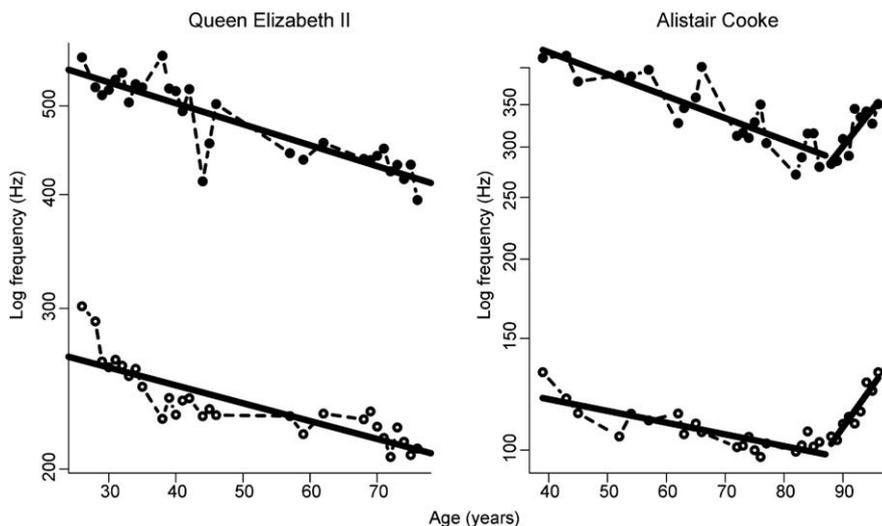


Fig. 3. Mean  $\ln F_0$  (unfilled circles) and mean  $\ln F_1$  (filled circles) in voiced frames for Queen Elizabeth II (left) and Alistair Cooke (right) as a function of chronological age with superimposed regression lines through the scatter.

and thereafter rise. Consequently, two separate regression lines were fitted to the data over the falling and rising intervals. The break-point – that is the apex of the V in this falling-rising pattern was determined algorithmically using the method of linear regression and break-point analysis discussed in detail in Baayen (2008): essentially, this method finds the year for which the sum of the squared deviations from the two regression lines is minimized. When this technique was applied to the  $F_0$ -data, the break-point was calculated to be at 87 years of age; the corresponding calculation over Cooke's  $F_1$ -data gave a break-point of 88 years of age. That these breakpoints fall within a year of each other again suggests a similar, and related trend in both  $F_0$  and  $F_1$  as a function of increasing age.

In order to test whether  $\ln F_0$  and  $\ln F_1$  changed at the same rate as a function of age, we compared the slopes of the regression lines using a method described in Pedhazur (1997). For the Queen, the results showed no significant differences between the regression slopes of  $\ln F_0$  and  $\ln F_1$  as a function of age (slope of  $F_0$ :  $-0.0045 \log \text{ Hz/annum}$ , slope of  $F_1$   $-0.0053 \log \text{ Hz/annum}$ ;  $F[1, 54] = 1.02$ , ns). For Cooke, we compared separately the slopes of the regression lines before and after the break-point. The results showed a significantly steeper fall in  $F_1$  than in  $F_0$  up to the break-point age of 87 (slope of  $F_0$ :  $-0.0042 \log \text{ Hz/annum}$ , slope of  $F_1$   $-0.0083 \log \text{ Hz/annum}$ ;  $F[1, 38] = 9.45$ ,  $p < 0.01$ ) and no significant difference between the rising slopes of  $F_0$  and  $F_1$  after the break-point (slope of  $F_0$ :  $0.027 \log \text{ Hz/annum}$ , slope of  $F_1$   $0.030 \log \text{ Hz/annum}$ ;  $F[1, 14] = 0.16$ , ns).

The general conclusion from these results is that increasing age has similar effects on  $F_0$  and  $F_1$ : in the Queen both parameters fall, in Cooke they both exhibit a falling–rising pattern in which the change from fall to rise occurs at approximately the same age. Moreover, the rate of change in  $F_0$  and  $F_1$  is not significantly different except in Cooke for whom  $F_1$  falls at a faster rate than  $F_0$ .

#### 4. Is the relationship between $F_0$ and $F_1$ an artifact of their acoustic calculation?

Since the LPC-algorithm used for formant-estimation is founded on the principle that the source is independent of the filter, then changes in  $F_0$  should have a negligible effect on  $F_1$ . Since on the other hand,  $F_1$  has the greatest influence on the spectrum at the harmonics to which it is closest in frequency, then the possibility that the changing relationships between these parameters observed in the preceding section are acoustically artifactual cannot be excluded (see Harrington, 2006 for a related discussion). In order to test this possibility, we measured whether changing  $F_0$  using synthesis techniques would have any influence on  $F_1$ .

##### 4.1. Method

We selected for re-synthesis all schwa vowels from the 1960s broadcasts of Queen Elizabeth II (in which the Queen was 34 years of age) both because there were many

schwas available in that broadcast year ( $n = 200$ ), and because the mean  $F_0$  for this year was approximately intermediate between the high and low fundamental frequencies of the earliest and most recent analysed broadcasts (the mean  $F_0$ s across all schwas for 1952, 1960 and 2002 were, to the nearest 5Hz, 300, 260 and 210 Hz, respectively).

A manipulation method (Moulines and Charpentier, 1990) in Praat was used to resynthesize all schwas with fundamental frequencies that were  $\pm 10\%$  and  $\pm 20\%$  of the original values, resulting in five tokens per schwa (the original and four resynthesized versions). Thus, the mean resynthesized  $F_0$  extended from 310 Hz (approximately 120% of the 1960 mean of 260 Hz) to 210 Hz ( $-120\%$  of the 1960 mean), i.e. a range that was comparable to the  $F_0$  range between the 1952 and 2002 broadcasts. The formant frequencies were calculated in the original and in all  $F_0$ -resynthesized schwas using the same methodology as described in Section 2.1.2. We then ran statistical tests to determine whether any shifts in  $F_1$  could be related to manipulated shifts in the fundamental frequency.

##### 4.2. Results

Fig. 4 shows  $F_0$  and  $F_1$  averaged separately for the different synthesis conditions. Between the  $+20\%$  and  $-10\%$  synthesis conditions, the decreases in the averaged  $F_0$  and averaged  $F_1$  are comparable; however, between the  $-10\%$  and  $-20\%$  conditions,  $F_0$  falls, whereas  $F_1$  rises. We tested for trends in the data by running a repeated measures ANOVA with  $F_1$  as the dependent variable, the five-interval synthesis condition between  $\pm 20\%$  coded as an ordered factor, and with the schwa as a repeated factor (since five measurements were taken from the same vowel). The results showed a significant effect of synthesis condition

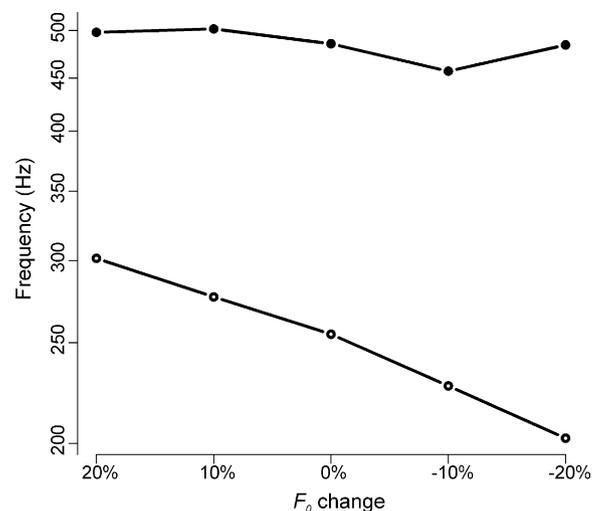


Fig. 4. Mean  $F_0$  (unfilled circles) and mean  $F_1$  (filled circles) in the schwas from the Christmas broadcast in 1960. The unmodified  $F_0$  and  $F_1$  are at 0%. The  $\pm 10\%$  and  $\pm 20\%$  conditions show  $F_0$  and re-calculated  $F_1$  values when  $F_0$  in the schwas were changed using re-synthesis techniques to  $\pm 10\%$  and  $\pm 20\%$  of their original values.

on  $F_1$  ( $F[4, 796] = 22.6, p < 0.01$ ). We re-ran the statistics using a linear mixed model with schwa as a random factor to test for the types of trends. The results showed strong evidence for a linear ( $t = 6.19$ ) and for a cubic ( $t = -6.50$ ) trend in  $F_1$  over the five-point synthesis interval extending between  $\pm 20\%$ . These results are consistent with the results in Fig. 4 which, as noted earlier, show a falling trend especially between the  $\pm 10\%$  conditions, but also a cubic shape across the entire  $\pm 20\%$  conditions.

However, although  $F_0$ -perturbations have a significant influence on  $F_1$ , the pattern of changes is very different compared with those observed from the data in the preceding experiment: in particular, whereas the change in  $F_1$  is similar to, or even greater than, the change in  $F_0$  in the longitudinal data of the Queen and Cooke (Fig. 3), there is no evidence for the same pattern in the data in Fig. 4. Consequently, we conclude that the acoustic perturbation in  $F_1$  due to a changing  $F_0$  is not sufficient to account for the age-dependent trend in  $F_1$  observed in the longitudinal data.

## 5. Perceptual judgments of age

The task in the perception experiments was to determine firstly the extent to which listeners could judge the age of the two speakers from the same, or similar, materials that had occurred in broadcasts in different years, and secondly to assess the effect of  $F_0$  and  $F_1$  on these judgments.

### 5.1. Method

Cooke introduced his ‘Letter from America’ with *good evening* for broadcasts until the mid 1980s and then *good morning* for the ones after these. We selected *good evening* from the 1947 and 1970 broadcasts and *good morning* from the 1990 broadcasts for presentation in the perceptual experiment. There were some intonational differences between the broadcasts from these 3 years, the most noticeable being that the 1947 broadcast was produced with a falling, in contrast to the low-rising, melodies in those from

1970 and 1990. The fundamental frequency and  $F_1$  followed the pattern to be expected from Fig. 3, i.e. highest for 1947, lowest for 1970, and intermediate for 1990. The duration increased from 1947 to 1970 to 1990: this is possibly related to the decrease in duration that has been found with increasing age (Benjamin, 1982; Morris and Brown, 1987; Smith et al., 1987).

These details on Cooke are presented in Table 3 together with those from the utterances taken from the Christmas broadcasts. For the latter, we choose a longer sentence from two broadcasts that were chronologically closer together than those for Cooke. The sentence was *On earth, peace, goodwill toward men* that occurred near the end of the 1972 and also in the 1983 broadcasts, albeit with the first three words in a different order. The intonation differences between these years were confined to the first three words. As expected based on the results shown in Fig. 3,  $F_0$  and  $F_1$  were both higher in the 1972 than in the 1983 broadcast. The durational differences between the utterances were again confined to the first three words and came about because of the presence of an additional prosodic boundary in the 1983 materials – see Table 3 for further details.

We took some steps to reduce the differences in the signal-to-noise ratio of the broadcasts that could have indirectly provided cues to the speaker’s age. For the data from Cooke, the SNR values were 24, 29 and 37 dB for the 1947, 1970 and 1990 broadcasts, respectively. In order to reduce these differences, we scaled the mean intensity of all broadcasts and added white noise to them, such that the modified SNR values for these three broadcast years were more similar at 25, 25 and 27 dB, respectively. After applying a similar transformation to the Christmas broadcasts, the modified SNR of the 1972 and 1983 broadcasts were both 25 dB. As a further step to reducing the artifactual differences in the recordings, all five utterances were down-sampled to 11 kHz.

We then derived resynthesized versions in which  $F_0$  and  $F_1$  were manipulated in the 1970 and 1972 broadcasts of the two speakers using TD-Psola and LPC analysis–re-synthesis

Table 3

A summary of the materials used in the perception experiment from Alistair Cooke (above) and from Queen Elizabeth II (below) showing the year of the broadcast, an intonational transcription according to the conventions of the tones-and-break indices system (round and square parentheses denote intermediate and intonational boundaries, respectively), the duration, mean  $F_0$ , and mean  $F_1$  of the utterance. For Queen Elizabeth II the durations are those of the second intonational phrase only. For both speakers,  $F_0$  and  $F_1$  are averages across all voiced frames in the entire utterance.

Year	ToBI transcription	$d$ (ms)	$F_0$ (Hz)	$F_1$ (Hz)
<i>Alistair Cooke</i>				
1947	H% [Good evening] L – L% L + H*	49.3	175	332
1970	[Good evening] L – H% L*	61.1	115	260
1990	H% [Good morning] L – H% L*	62.5	121	321
<i>Queen Elizabeth II</i>				
1972	[(on earth)H – (peace)!H – (goodwill towards men)]L – L% L + H* H* H* !H*	1260	236	430
1983	[peace on earth]L – L% [goodwill towards men]L – L% H* !H* H* !H*	1250	201	418

programs in Praat. More specifically, we obtained a total of 12 new recordings for each speaker in which  $F_0$  and  $F_1$  were proportionately increased and decreased in two steps either separately or together. The proportional step changes to  $F_0$  and  $F_1$  were  $\pm 12\%$  and  $\pm 26\%$ , respectively, for Cooke. Thus for Cooke, we obtained four separate resynthesized versions of the 1970 production of good evening in which only  $F_0$  was changed by  $\pm 12\%$  (1 step) and by  $\pm 24\%$  (2nd step) of the original; another four separate recordings in which only  $F_1$  was changed by  $\pm 26\%$  and by  $\pm 52\%$ ; and finally four more recordings in which  $F_0$  and  $F_1$  were increased or decreased by these steps together. For Queen Elizabeth II, we also obtained 12 new recordings from her 1972 production of the sentence shown in Table 3, but with step sizes of  $\pm 20\%$  and  $\pm 19\%$  for  $F_0$  and  $F_1$ , respectively. A summary of all these resynthesized data is shown in Table 4. The step sizes were estimated from  $F_0$  and  $F_1$  differences from schwa data that was available at the time of designing the perception experiment (and before all the data and results from the longitudinal study in experiment 3 were available). For Cooke, these steps (12% and 24%) were the percentage changes in  $F_0$  and  $F_1$  between the ages of 43 (1951) and 82 (1990); for Queen Elizabeth II, the corresponding percentages (20% and 19%) were the changes to  $F_0$  and  $F_1$  between the ages of 27 (1953) and 69 (1995). We chose those early and late steps – and thereby the big differences in  $F_0$  and  $F_1$  to be expected – because formant frequencies are reported to be an acoustic correlate of perceived age in whispered natural stimuli only (Linville, 1987), whereas the manipulation of speaking  $F_0$  in (re-)synthesized stimuli is reported to contribute only very little to changes in age judgments (Winkler, 2007a,b; Harnsberger et al., 2008). So, since we were unsure of the extent of the perceptual effect of changing  $F_0$  and  $F_1$  by these quantities, we derived another set of materials in which the step sizes were (somewhat arbitrarily) doubled (Table 4).

Table 4

The paired entry in each cell denotes the percentage changes, respectively, to  $F_0$  and to  $F_1$  in deriving the 12 separate versions of Cooke's 1970 production of *good evening* and 12 versions of Queen Elizabeth II's 1972 production of *on earth, peace, goodwill towards men*.

	Cooke	Queen
$F_0$ only	-12, 0	-20, 0
	-24, 0	-40, 0
	12, 0	20, 0
	24, 0	40, 0
$F_1$ only	0, -26	0, -19
	0, -52	0, -38
	0, 26	0, 19
	0, 52	0, 38
Both $F_0$ and $F_1$	-12, -26	-20, -19
	-24, -52	-40, -38
	12, 26	20, 19
	24, 52	40, 38

There were therefore 5 unmodified stimuli (Table 3) and 24 stimuli in which  $F_0$  and  $F_1$  were changed separately or together (Table 4). These were repeated five times, randomized and presented to 15 listeners (thus  $29 \times 5 \times 15 = 2175$  presentations). The listeners were volunteer students of phonetics at the IPS, Munich aged between 21 and 34 years (mean age 25.1 years). Thirteen of the listeners were L1-speakers of German; the other two, who had near native speaker competency in German, were L1-speakers of Hungarian and Russian. The listeners were chosen partly because of their availability, but mainly due to their unfamiliarity with the two voices to which they were asked to listen (since voice familiarity could provide indirect cues to age). They listened to the stimuli using headphones and were asked to judge the speaker's age by selecting for each stimulus a single block denoting an approximate age of the given value between the ages of 10 and 95 years (i.e., they circled one of 10, 15, 20, ..., 85, 90, 95, and were told that e.g. '35' meant 'in the middle of his/her thirties, and not necessarily exactly 35'). They were allowed as much time as they wished in listening to the stimuli and could listen to each stimulus twice.

## 5.2. Results

We present the results of listeners' guess of the speakers' ages in two parts: firstly in broadcasts in different years (with unmodified  $F_0$  and  $F_1$ ); and secondly in broadcasts from the same year that are identical except for modifications to  $F_0$  and/or  $F_1$ . The first set of results (Section 5.2.1) is therefore a test of whether listeners can identify the speaker's age in stimuli for which  $F_0$  and  $F_1$  were unmodified; the second of the extent to which  $F_0$  and/or  $F_1$  contribute to judgments of the speaker's age.

### 5.2.1. Estimated age in different broadcasts

Fig. 5 shows the listeners' age estimates in two very similar sentences produced by Queen Elizabeth II in 1972 and 1983 and in good evening/morning produced by Cooke in 1947, 1970 and 1990. The figure suggests that listeners judged the Queen to be older in the later than the earlier broadcast and they also judged Cooke to be older in 1970 than in 1947. However, listeners judged Cooke to be of a similar, or younger, age in the 1990 than in the 1970 broadcast. We ran linear mixed models separately for each speaker with the listeners' age estimate as the dependent variable, the broadcast year as an ordered factor, and with the listener as a random factor. The results showed significant effects for the broadcast year on listeners' age estimates in both Queen Elizabeth II ( $F[1, 60] = 106.8, p < 0.001$ ) and in Cooke ( $F[2, 60] = 40.0, p < 0.001$ ). Compatibly with Fig. 5, the mixed model analysis in Cooke showed strong evidence for both a linear ( $t = 7.1$ ) and a quadratic ( $t = -5.34$ ) trend.

The general conclusion from these results is that listeners correctly judged Queen Elizabeth II to be older in the second broadcast that was recorded 11 years later,

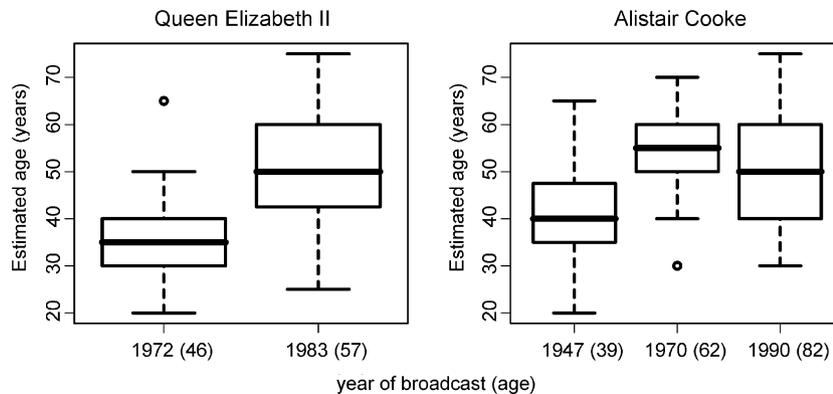


Fig. 5. The distribution of age estimated by 15 listeners in similar sentences from two Christmas broadcasts and in three similar short phrases taken from broadcasts from 'Letter from America'. The year of the broadcast and the speaker's age are shown below the boxplots.

although they did underestimate the Queen's age by some 5–10 years in both broadcasts. They also correctly judged Cooke to be older in the 1970 compared with the 1947 broadcast (and underestimated his age by roughly 5 years in the later broadcast but not in the earlier one). However, the quadratic trend in these data shows that listeners judged Cooke in 1990 to be no older, and possibly younger, than in his 1970 broadcast. The different materials (see Table 3) or perhaps even different intonational melodies could be one reason for this failure to judge the speaker to be older in the 1990 than in the 1970 broadcast. A more plausible influence is the fractionally higher mean  $F_0$  and substantially higher  $F_1$  in the 1990 than in the 1970 broadcast. Thus if listeners tend to associate higher  $F_0$  or  $F_1$  values with a lower age, then they will tend to underestimate Cooke's age beyond the break-point after which, in much older years, Cooke's  $F_0$  and  $F_1$  begin to increase. According to Fig. 3, the break-point is at roughly 1994/1995, whereas these data are from 1990. However, there is already evidence in Fig. 3 for some deviation from the falling trend line before the calculated break-point year, as the outlier at the age of 83 (1991 broadcast) shows; and in any case, the mean  $F_0$  and  $F_1$  for the particular sentence used in this perception experiment were higher than those in 1970 (Table 3).

Thus the general conclusion so far is that there is a correspondence between listeners' judgment of age and the two speakers' actual age, except for beyond the break-point in Cooke. In the next section, we tested more directly how far  $F_0$  and  $F_1$  contributed to this judgment.

### 5.2.2. Effect of $F_0$ and $F_1$ on judgments of age

We considered the effects of  $F_0$  and  $F_1$  on age estimation in two parts. Firstly, we analysed the perceptual effects of changing either  $F_0$  or  $F_1$  when the other parameter was unchanged. Secondly, we report on the differences between shifting  $F_0$  alone or shifting  $F_0$  and  $F_1$  together.

The results for the first of these are shown separately for the two speakers in Fig. 6. The data in the left column, in which  $F_1$  was unchanged for all  $F_0$  manipulations, shows

that perceptual estimates of age decrease dramatically in the data of Queen Elizabeth II across the five steps from lowest to highest  $F_0$ ; there was a similar, but less marked, trend in the data for Cooke. The results of mixed models fitted separately to the data of the two speakers with age estimation as the dependent variable, the five  $F_0$  steps as an ordered factor, and listener as a random factor, show that  $F_0$  influenced age estimation significantly in the data of both the Queen ( $F[4, 60] = 162.8, p < 0.001$ ) and Cooke ( $F[4, 60] = 14.1, p < 0.001$ ). By contrast, the right column of Fig. 6 shows a less dramatic effect of  $F_1$  on age estimation when  $F_0$  is unchanged. For the Queen, the data in Fig. 6 show that a decreasing  $F_1$  was associated with increasing age perception, an effect (using the same form of mixed model but with  $F_1$  as the dependent variable) that was significant ( $F[4, 60] = 31.3, p < 0.001$ ). On the other hand, as Fig. 6 suggests and as a statistical analysis confirms, there was no effect on age perception in Cooke's data of shifting  $F_1$  when  $F_0$  is unchanged.

We now consider whether  $F_1$  contributed to age perception beyond the cues to age provided by  $F_0$ . To do this, we compared age judgments obtained from manipulating  $F_0$  alone (and leaving  $F_1$  unchanged) with those obtained from manipulating both  $F_0$  and  $F_1$  in the same direction. A summary of age estimates from these two conditions is shown in Fig. 7.

In general, Fig. 7 suggests that  $F_1$  has a negligible effect on age judgments beyond the information provided by  $F_0$ . Thus, the leftmost two boxplots show that lowering  $F_0$  by two steps without changing  $F_1$  resulted in a similar estimation of age compared with lowering both  $F_0$  and  $F_1$  together by two steps in data from both the Queen and from Cooke. The main differences between the conditions were when the frequencies were raised. Thus, when both  $F_0$  and  $F_1$  were raised, the effect was to lower the estimation of age for the Queen compared with the condition in which only  $F_0$  was raised. For Cooke, the effect was in the other direction, however: listeners judged Cooke to be older, not younger, in the condition in which  $F_0$  and  $F_1$  were both raised by two steps compared with the condition in which

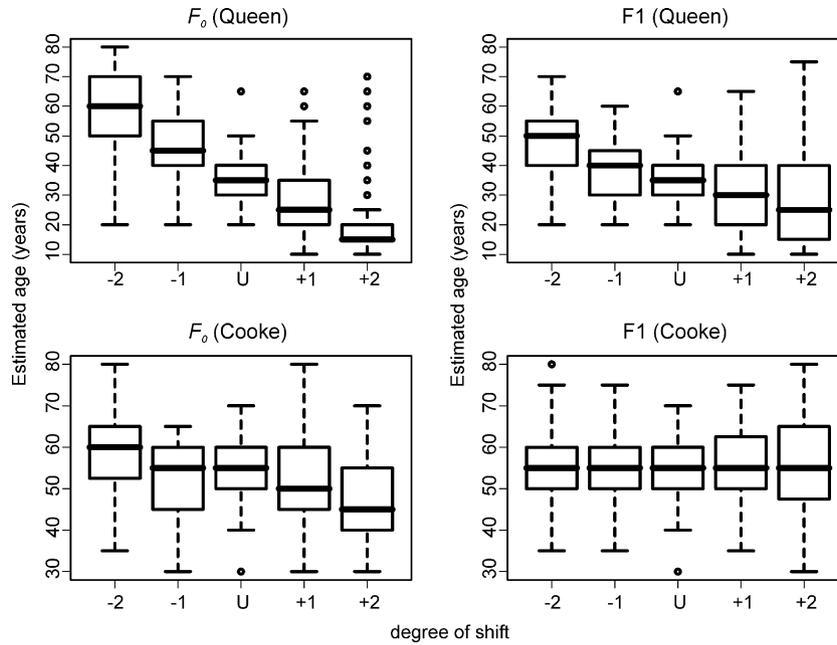


Fig. 6. The distribution of age estimated by 15 listeners in which  $F_0$  (left column) or  $F_1$  (right column) were resynthesized in a sentence from the 1972 Christmas broadcast (first row) and in a production of *good evening* from ‘Letter from America’ in 1970 (bottom row). The five conditions show the responses when the parameter was shifted in frequency downward in two steps (–2), downward in one step (–1), unchanged (U), upward by one step (+1), and upward by two steps (+2).

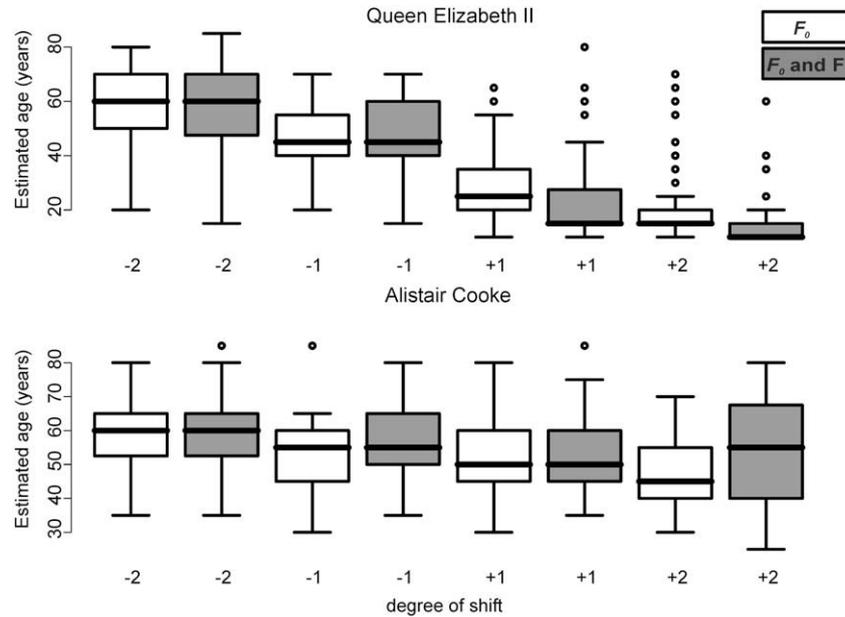


Fig. 7. The distribution of age estimated by 15 listeners in response to manipulations of just  $F_0$  (white) or both  $F_0$  and  $F_1$  together (grey) in data from the 1972 the Christmas broadcasts (first row) and in a production of *good evening* from Letter from America in 1970 (bottom row). The four paired conditions show the responses when the parameters were shifted in frequency downward in two steps (–2), downward in one step (–1), upward by one step (+1), and upward by two steps (+2). The results shown for the grey rectangles are when both parameters are shifted by the same step size (thus –2 denotes a two-step downward shift in both  $F_0$  and in  $F_1$ ).

only  $F_0$  was raised (far right boxplots). A subsequent mixed analysis with dependent variable age estimate, independent factors  $F_0$  (four levels: L2, L1, H1 and H2) and condition (two levels: manipulations of  $F_0$  vs. manipulations of  $F_0$  and  $F_1$  together) and with listener as a random factor showed an effect of condition ( $F[1, 60] = 9.5$ ,

$p < 0.01$ ) and a significant interaction between  $F_0$  and condition ( $F[3, 60] = 4.8$ ,  $p < 0.01$ ) in the data for the Queen. When we re-ran the mixed models separately for each of the four  $F_0$  levels, the results showed a significant effect of condition only when the frequencies were raised: that is, listeners judged the Queen’s age to be significantly lower

when both  $F_0$  and  $F_1$  were raised by either one ( $F[1, 60] = 22.0$ ,  $p < 0.001$ ) or two ( $F[1, 60] = 19.5$ ,  $p < 0.001$ ) steps compared with raising  $F_0$  on its own. For Cooke, there was a not quite significant effect of condition on perceptual age judgments: thus in general, shifts in frequency in  $F_0$  alone or in  $F_0$  and  $F_1$  together produced broadly similar perceptual responses to listeners' age estimates in Cooke's data.

Summarizing across all these results, the perceptual effect of  $F_0$  was unequivocal: raising and lowering  $F_0$  were associated with a decrease and increase, respectively, in perceptual judgments of age. The effect of  $F_1$  on age perception was more mixed. Although shifting  $F_1$  while keeping  $F_0$  unchanged resulted in corresponding shifts in perceptual age judgments, this was so only for data from the Queen, and not from Cooke. Also, there was no clear pattern of differences across both speakers when  $F_0$  and  $F_1$  were shifted together (upwards or downwards in frequency) compared with shifting  $F_0$  alone. Only increasing  $F_0$  and  $F_1$  together in the Queen lowered age estimates more than raising  $F_0$  on its own. Consequently, the  $F_0$  differences were likely to have been of primary importance in listeners' perception of an older speaker in the Queen's 1983, compared with her 1972, broadcast. Also, the correct judgment for Cooke that the speaker was older in the 1970 than the 1947 broadcast, and the incorrect judgment that the speaker was younger in the 1990 than in the 1970 broadcast was most likely to be cued by mean  $F_0$  which was highest in 1947, lowest in 1970 and intermediate between the two in 1990. The only reservation in this interpretation is that there was only a very modest  $F_0$  increase of some 6 Hz, but a more substantial  $F_1$  increase of 61 Hz between the 1970 and 1990 broadcasts. Thus contrary to our somewhat negative results from the second set of perception experiments showing only a very weak effect of  $F_1$  on age perception independently of  $F_0$ , we cannot completely exclude the possibility that  $F_1$  played some role in the listeners' (incorrect) judgments that the speaker was of a similar age, or younger, in the 1990 than in the 1970 broadcast.

## 6. Discussion

There were four main findings in this study. Firstly,  $F_0$  and the first formant of schwas in at least four of the five speakers decreased between two time points sampled roughly 30 years apart. These results are consistent with those from other apparent-time (Baken, 2005; Linville, 1996; Nishio and Niimi, 2008) and longitudinal (Decoster and Debruyne, 2000; Harrington, 2007) studies in showing a decrease in  $F_0$  with increasing age. Secondly, we found that  $F_0$  and  $F_1$  changed at roughly the same rate as estimated from data sampled at several intervals over a 50–60 year period in a female (Queen Elizabeth II) and a male (Alistair Cooke) speaker. Compatibly with other publications (Baken, 2005; Linville, 1996), there was clear evidence of a V-shaped trend in  $F_0$  and a similar trend over roughly

the same period in  $F_1$  for Cooke. The results of our third study confirmed that this co-variation of  $F_0$  and  $F_1$  was not an artifact of the (LPC) algorithm for calculating these parameters. Finally, the results of our perception studies showed a very clear effect of  $F_0$  on age perception, whereas, compatibly with Linville (1987) and Linville and Fisher (1985b), the perceptual effect on age of  $F_1$  was marginal and generally not independent of  $F_0$ .

While our results are generally consistent with those from some earlier studies, there are also some differences. One of these concerns the sharp drop in  $F_0$  that is reported by Linville (1996) for women in the age range 45–55 years that may be associated with hormonal changes due to the menopause (see also De Pinto and Hollien, 1982; Russell et al., 1995 for similar results from longitudinal studies). However, our study is more consistent with that of Baken's (2005) summary of 20 studies on  $F_0$  and aging in showing a continuous decrease in  $F_0$  with increasing age. Another difference is in the turning point at which  $F_0$  in males starts to increase, after it has decreased from young adulthood: whereas Linville (1996), Baken (2005) and Brown et al. (1991) suggest turning points between the ages of 30 and 50, the trough in the age-related  $F_0$  pattern in our male speaker was much later, and beyond 80 years of age. It may well be, then, that the year at which the trough is reached is speaker-dependent. As a Reviewer has suggested, it is possible that the late turning point may be a feature of professional speakers such as Cooke who may have learned to delay the effects of increasing age on the voice. On the other hand, professional speakers may well demonstrate even more pronounced aging effects because they use their voice so extensively: for example, a comparative study between professional and non-professional speakers by Linville (2001) showed that the effect of age on  $F_0$  and phonation range was broadly similar in classically trained singers and non-singers when other effects such as smoking are controlled for. So the confound with the effects of other speaker variables in the apparent-time studies of Linville (1996), Baken (2005) and Brown et al. (1991) may not allow the  $F_0$  turning point to be estimated sufficiently reliably.

We now consider some interpretations of the main finding from the acoustic part of our study that age-dependent  $F_1$  changes seem to track those of  $F_0$  quite closely. One possibility is that this  $F_0$ – $F_1$  interaction comes about because of a coupling between the laryngeal and supralaryngeal mechanisms. Thus, although the acoustic speech signal can be very well approximated by considering the source to be independent of the filter characteristics of the vocal tract, there are some well-known circumstances during which they interact. As a consequence of this interaction,  $F_0$  can influence formants and in particular  $F_1$  and vice-versa broadly in two ways: firstly, the shape of the glottal waveform can be skewed by vocal tract loading; and secondly,  $F_1$  can be perturbed and dissipated by the glottis during the open phase of the glottal cycle (Childers and Wong, 1994; see also Klatt and Klatt, 1990). It is this second

type of interaction which might produce a shift in  $F_1$  of the kind observed in our results. More specifically, the open glottis impedance, which is due to a combination of the resistance and reactance produced by the mass of air in the glottal opening, can cause a small increase in  $F_1$  frequency and quite considerable damping of  $F_1$  (Holmes and Holmes, 2001). However, Badin and Fant's (1984) calculations based on equations by Flanagan (1965) showed the effect of glottal impedance to be small and largely irrelevant for most types of vowel production. On the other hand, in a more recent investigation of the influence of the open phase of the cycle on the acoustic response of the vocal tract using a mechanical model of vocal fold vibration, Barney et al. (2007) found that  $F_1$  increased with increases in glottal width and the glottal opening quotient. Taking into account all of these results in the last 20–30 years, it seems that there is still some uncertainty about the magnitude of the effects on formants of this type of glottal coupling. But as far as the present data are concerned, there is in any case no evidence as far as we know that increasing age is associated with a change to the glottal width in the open phase of the glottal cycle. Moreover, even if it were possible to explain the age-dependent changes to  $F_1$  in this way, there is still no account in this type of articulatory-to-acoustic mapping for why the frequency of  $F_0$  and  $F_1$  change with age at comparable rates.

Alternatively, the suggestions that the vocal tract lengthens with increasing age either because of a lowering of the respiratory and digestive system (Laver and Trudgill, 1979) or because of a lowering of the larynx in the neck (Linville and Rens, 2001) may provide a simpler account of the  $F_0$ – $F_1$  co-variation observed in our results. Certainly, this account is compatible with the falling  $F_1$  observed in both the Queen and Cooke with increasing age; but at the same time, assuming the implausibility of a lengthening and then subsequent shortening of the vocal tract with increasing age, the subsequent  $F_1$  rise in Cooke's later years requires a different explanation, possibly based on a thinning of the vocal folds, as found for older males' vocal fold epithelium (Segre, 1971), superficial (Sato and Hirano, 1997) and intermediate (Linville, 2001) layer, or on a higher incidence of glottal gap at higher age in men (Linville, 2001); both possibilities could increase the interface between sub- and supraglottal spaces, and therefore – following our first interpretation –  $F_1$ . It may also be possible to relate this  $F_1$ -change to comparable variations in  $F_0$ , given the evidence that the fundamental frequency varies in relation to the height of the larynx (Honda et al., 1999; Shipp, 1975). However, vocal tract lengthening or shortening should affect all formants, whereas the results from the present study indicate a main influence of age predominantly on  $F_1$  (see also Harrington, 2006).

The third possibility is that the relationship between the change in  $F_0$  and  $F_1$  may have an auditory explanation in terms of the need to maintain a roughly constant phonetic vowel height with increasing age. Syrdal and Gopal (1986) suggested that Bark-scaled differences between  $F_0$  and  $F_1$

may provide a more effective cue to vowel height than  $F_1$  alone and also that  $F_1$ – $F_0$  might provide an effective form of intrinsic speaker normalisation. The acoustic basis of  $F_1$ – $F_0$  as a cue to vowel height is the well-known finding of intrinsic pitch in which  $F_0$  is in general higher in frequency in phonetically high than low vowels (Slawson, 1968): since  $F_1$  decreases, i.e. changes in the opposite direction with increasing phonetic height, then the phonetic low-high vowel separation should be greater from the  $F_0$ – $F_1$  difference than from  $F_1$  alone. The idea that the Bark difference between  $F_0$  and  $F_1$  may distinguish high from low vowels is supported by a number of perception experiments by Traunmüller (1981, 1984, 1991) showing only minimal changes to the perception of vowel height if Bark-scaled  $F_0$  and  $F_1$  are increased or decreased together.

Suppose now that the physiological changes to the larynx and vocal folds (see Linville, 2001 for a summary) accompanied by age-dependent changes in breathing and efficiency of laryngeal airway valving (Hoit and Hixon, 1987, 1992; Huber and Spruill, 2008; Melcon et al., 1989) bring about the kinds of changes to fundamental frequency that have been observed in this paper. Unless  $F_1$  changes as well, then, according to this auditory theory, the cues to phonetic vowel height will be disrupted. Consequently, it is possible that speakers actively lower  $F_1$  in response to their age-dependent changes in  $F_0$  in order to maintain a relatively constant perception of phonetic vowel height with increasing age. Such an  $F_1$ -adjustment could be made by changing the extent of the mouth opening. As Lindblom and Sundberg (1971) show in their predictions of resonances from models of the vocal tract, the most consistent effect of jaw lowering (and hence mouth opening) is an  $F_1$ -raising for all types of constriction location and positions. Moreover, when the mouth opening was increased in a single tube model of the vocal tract for schwa in their study, there was no effect on  $F_2$ , while the rise in  $F_3$  was much more modest than that for  $F_1$  (and for jaw openings beyond 10 mm,  $F_3$  did not rise at all). These predicted formant adjustments in response to adjustment of the mouth opening fit our observations on age-related changes quite well. Thus, one plausible interpretation of our data is that the physiological changes to the larynx and vocal folds that produce a falling  $F_0$  with increasing age (and then a rising  $F_0$  in later years in male speakers) are offset by small adjustments to the mouth opening in order to maintain a roughly constant auditory distance between  $F_0$  and  $F_1$ , thereby minimizing changes to perceived vowel height with increasing age. This interpretation is consistent with a recent study by Beyerlein et al. (2008) in which an acoustic-to-articulatory inversion was applied using Maeda's model (Maeda, 1979, 1990) to the same longitudinal data of Queen Elizabeth II: their results showed a progressively raised jaw position and therefore a smaller mouth opening in the Queen's data with increasing age.

Finally, our results suggest the need for caution in the interpretation in apparent-time studies of differences in  $F_1$  between younger and older speakers as indicative of

phonetic sound change. Thus a decrease in  $F_1$  due to diachronic raising may be confounded with the lower  $F_1$  that results from age differences. One possible way of disentangling this confound might be to take the speaker's  $F_0$  into account and base the diachronic change to phonetic height on the auditory distance between  $F_1$  and  $F_0$ . Alternatively, and in our view much more reliably, this confound can be overcome as in Harrington et al. (2008) by assessing separately for each speaker the position in a formant space of a vowel subject to a sound change in progress relative to another vowel, or set of vowels, that are comparatively diachronically stable.

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